



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 1636

COMPOSITION COMPRISING A MOUSE

In re application of:

HRT PROTEIN-HUMAN INTERACTING

Sreekrishna et al.

PARTNER PROTEIN COMPLEX

Application No.: 10/712,629

Filing Date: November 13, 2003

Mail Stop: Sequence

Commissioner for Patents

P.O. Box: 1450

Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

"Express Mail" label number: EB201435429US

Date of Deposit: September 26, 2008

I hereby certify that the following attached paper or fee:

AMENDMENT TRANSMITTAL;
RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT
APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID
SEQUENCE DISCLOSURES;
SEQUENCE LISTING;
COPY OF PTO NOTICE-NOTICE TO COMPLY; and
COMPUTER READABLE DISK

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop: Sequence, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Beth H. Retort

Typed or printed name of person mailing paper or fee)

Signature of person mailing paper or fee)

(Express Mail Certificate)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Application No. 10/712,629 Inventor(s) · Sreekrishna et al. Filed November 13, 2003 1636 Art Unit Jennifer Ann Dunston Examiner Docket No. 9423/080323 Confirmation No. 5723 Title COMPOSITION COMPRISING A MOUSE HRT PROTEIN-HUMAN INTERACTING PARTNER PROTEIN COMPLEX Mail Stop: Sequence **Commissioner for Patents** P.O. Box: 1450 Alexandria, VA 22313-1450 AMENDMENT TRANSMITTAL Transmitted herewith is an amendment for this application. **STATUS** 2. Applicant is A statement that this filing is by a small entity is hereby asserted in accordance with the rule change effective September 8, 2000, 65 Fed. Reg.

other than a small entity.

54603.

EXTENSION OF TERM

filed after a Non-Final Office			Cases (Supplement Amendments) - If a timely and complete response has been Action, an extension of time is not required to permit filing and/or entry of an piration of the shortened statutory period.				
	and/or ei shortene course, it	ntry of a Notice of App d statutory period unles	peal or filing and/o s the timely-filed n been filed within	or entry of an additio esponse placed the ap	nsion of time is required to pe nal amendment after expiration oplication in condition for allow by period, the period has cease	on of the ance. Of	
	See 37 CFR 1.645 for extensions of time in interference proceedings, and 37 CFR 1.550(c) for extensions of time in reexamination proceedings.						
3. apply.	The proceedings herein are for a patent application and the provisions of 37 CFR 1.136						
		<u>(c</u>	omplete (a) or	(b), as applicable)		
(a)		Applicant petitions (fees: 37 CFR 1.1			37 CFR 1.136 months checked below:		
Extension (months			ee for other them	an	Fee for small entity		
one	month	\$	120.00		\$ 60.00		
two i	months	\$	460.00		\$230.00		
three	e month	s \$	1,050.00		\$525.00		
four	months	\$	1,640.00		\$820.00		
					Fee: \$		
If an add	ditional	extension of time i	s required, ple	ase consider this	a petition therefor.		
	_	(check an	d complete the	e next item, if appl	icable)		
		paid therefor of \$_	months has already been secured and the sign now requested.			the fee ne total	
			•	Extension fee due	with this request \$		
			0	R			
(b)			n is being mad	le to provide for the	is required. Howevene possibility that applicate extension of time.		

FEE FOR CLAIMS

4. The fee for claims (37 CFR 1.16(b)-(d) has been calculated as shown below:

(Col. 1)	(Col. 2)	(Col. 3)	SMALL	ENTITY		•	THAN A ENTITY
CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE	OR	RATE	ADDIT. FEE
TOTAL 8•	MINUS 20.	=0	X25=	\$0		X50=	\$0
INDEP. 2•	MINUS 3 ···	=0	X105=	\$0		X210=	\$0
FIRST PRE	SENTATION OF MULT	TPLE DEP. CLAIM	+185=	\$		+370=	\$0
			TOTAL ADDIT. FEE	\$0	OR	TOTAL ADDIT. FEE	\$0

- If the entry in Col. 1 is less than entry in Col. 2, write ")" in Col. 3.
- If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20."
- If the "Highest No. Previously Paid for" IN THIS SPACE is less than 3, enter "3." The "Highest No. Previously Paid for" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

WARNING

"After final rejection or action (§ 1.113) amendments may be made cancelling claims or complying with any requirement of form which has been made." 37 CFR § 1.116(a) (emphasis added).

Complete (c) or (d), as applicable)

(c)	\boxtimes	No additional fee for claims is re	quired.	
)R	
(d)		Total additional fee for claims re	quired \$	
		FEE PA	YMENT	
5.		Attached is a check in the sum	of \$	
		Charge Account No	the sum of \$	

FEE DEFICIENCY

NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).

6. If any additional extension and/or fee is required, charge Account No.

11-1110.

AND/OR

7. If any additional fee for claims is required, charge Account No. 11-1110.

SIGNATURE OF ATTORNEY

Reg. No.: 30,557

Tel. No.: (412) 355-8619

Customer No. 27752

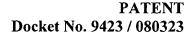
Christine R. Ethridge

(type or print name of attorney)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 1636

COMPOSITION COMPRISING A MOUSE

In re application of:

HRT PROTEIN-HUMAN INTERACTING

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PARTNER PROTEIN COMPLEX

Application No.: 10/712,629

Filing Date: November 13, 2003

RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Pittsburgh, Pennsylvania 15222 September 26, 2008

Mail Stop Sequence Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This Sequence Listing is submitted in response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures ("Notice") mailed from the U.S. Patent and Trademark Office on August 29, 2008, having a one month period to respond.

Remarks begin on page 2 of this Notice.

Response to Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures

Application No.: 10/712,629

Attorney Docket No.: 9423 / 080323

Page 2 of 2

REMARKS

In response to the Notice, Applicant submits herewith a replacement Sequence Listing that complies with the requirements of 37 C.F.R. §§ 1.821 – 1.825. Applicant has amended the Sequence Listing to include <220> for SEQ ID NO: 1-20. In addition, <223> for SEQ ID NO: 17 and SEQ ID NO: 18 has been amended to adhere to the 72 character limit. Thus, after amendment, SEQ ID NO: 17 <223> reads, "C-terminal portion of HRt having amino acid residues 490 to 1182" and SEQ ID NO: 18 <223> reads, "Nucleotide sequence of HRt corresponding to C-terminus of HR protein."

Support for these amendments is found in the originally submitted Sequence Listing. Support for the amendment to <223> for SEQ ID NO: 17 is found in the original descriptive portion of <213> of SEQ ID NO: 18, and support for the amendment to <223> for SEQ ID NO: 18 is found in the original descriptive portion of <213> of SEQ ID NO: 17.

Applicant submits that the amendments to the Sequence Listing introduce no new matter and the Sequence Listing submitted herewith is intended to replace the Sequence Listing previously submitted on May 30, 2008. In addition, Applicant submits that the content of the paper and computer readable copies are the same and; where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

If the undersigned can be of assistance to the Examiner in addressing any additional issues to advance the application to a condition of allowance, please contact the undersigned at the number set forth below.

Respectfully submitted,

Dated: 9/26/2008

Christine R. Ethridge Registration No. 30,557

Customer No. 27752

K&L GATES LLP

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Pittsburgh, Pennsylvania 15222

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Application No. 10712629 Notice to Comply Examiner Art Unit Jennifer Dunston, Ph.D. Applicant(s) SREEKRISHNA ET AL. Art Unit 1636

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
- 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- 6. The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other:

Applicant Must Provide:

- An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- An initial or substitute paper copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application.
- A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216 or (703) 308-2923

For CRF Submission Help, call (703) 308-4212 or 308-2923

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